

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Juergen Roeck

Application No.: 10,000,111

Filed: October 31, 2001

For: RULE-BASED DOCUMENT COMPOSING

)

) Art Unit: 2644

) Examiner: Unassigned

)

**COPY OF PAPERS  
ORIGINALLY FILED**

Box Missing Parts

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b) (FILING DATE GRANTED)**

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed December 19, 2001, please find enclosed:

- (1) a check in the amount of \$130.00 in payment of the surcharge under 37 C.F.R. § 1.16(l);
- (2) a copy of the Notice to File Missing Parts of Application; and
- (3) a signed Declaration and Power of Attorney.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on January 17, 2002

Date of Deposit

Beverly Kehoe Shea  
Name of Person Mailing Correspondence

Beverly Kehoe Shea  
Signature

1/17/02  
Date

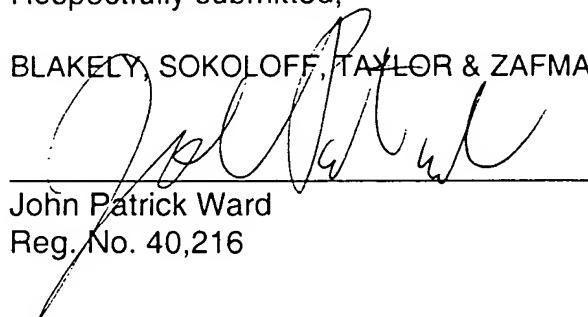
If any additional fee is required, please charge Deposit Account No. 02-2666.

A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/12/02

  
John Patrick Ward  
Reg. No. 40,216

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026

(408) 720-8300

mu  
FEB 13 2002

PATENT & TRADEMARK OFFICE

SEARCHED

## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on January 17, 2002

Date of Deposit

Beverly Kehoe Shea  
Name of Person Mailing Correspondence

Beverly Kehoe Shea  
Signature

1/16/02  
Date

### COPY OF PAPERS ORIGINALLY FILED

Serial/Patent No.: 10/000,111

Filing/Issue Date: October 31, 2001

Client: Exigen Group

Title: Rule-Based Document Composing

BSTZ File No.: 005642-P010

Atty/Secty Initials: JPW/jxs/bks

Date Mailed: January 17, 2002

Docket Due Date: February 19, 2002

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                      | <input type="checkbox"/> Express Mail No.: _____                                     | <input checked="" type="checkbox"/> Check No. <u>47708</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                            | <input type="checkbox"/> _____ Month(s) Extension of Time                            | <input type="checkbox"/> Amt: <u>130.00</u>                |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)          | <input type="checkbox"/> Information Disclosure Statement & PTO-144 (____ pgs.)      | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                 | <input type="checkbox"/> Issue Fee Transmittal                                       | <input type="checkbox"/> Amt: _____                        |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                   | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CIP (____ pgs.)                          | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)              | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                    | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                       | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                               | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                   | <input checked="" type="checkbox"/> Response to Notice of Missing Parts              |  |
| <input checked="" type="checkbox"/> Declaration & POA (____ pgs.)                            | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                  | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |
- Other: copy of Notice to File Missing Parts of Application Filed  
Under 37 C.F.R. 1.53(b) (Filing Date Granted).



## UNITED STATES PATENT AND TRADEMARK OFFICE

H 3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/000,111	10/31/2001	Jurgen Roeck	5642.PO10

008791  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

CONFIRMATION NO. 8990

## FORMALITIES LETTER



COPY OF PAPERS  
ORIGINALLY FILED

---

Date Mailed: 12/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

130.00 00  
00000053 10000111  
02/20/2002 CV0111  
01 FC:105